COLLATERAL DAMAGE:
Elder Abuse and the Opioid Epidemic in
Rural Virginia and Kentucky

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Acknowledgements

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Research Team:
As a result of the consequences of the opioid crisis affecting our Nation, . . . I, Eric D. Hargan, Acting Secretary of Health and Human Services, . . . do hereby determine that a public health emergency exists nationwide.

October 26, 2017
Three Waves of the Opioid Epidemic

Wave 1: Rise in Prescription Opioid Overdose Deaths
Wave 2: Rise in Heroin Overdose Deaths
Wave 3: Rise in Synthetic Opioid Overdose Deaths

American life expectancy declined for the 3rd consecutive year in 2017 driven by the increase in deaths from drug overdoses.

More than 1 out of 5 drug overdose deaths involve an opioid.

Every day, on average, 30 Americans die of a opioid overdose.

Opioid overdoses killed more than 47,600 people in 2017.

CDC Drug Overdose and Death Data. [https://www.cdc.gov/drugoverdose/data/statedeaths.html](https://www.cdc.gov/drugoverdose/data/statedeaths.html).
Impacts of the Opioid Epidemic

Health
- Neonatal abstinence syndrome, Hepatitis C, dental issues, mental health issues, malnourishment, accidents and suicide

Economic
- Inability to recruit workers who can pass drug screening tests, absenteeism, loss of productivity, lack of ability to attract industry due to stigma of addiction

Crime
- Domestic violence, theft, prostitution, DUI, increased gang related activity

Families
- Parental absenteeism due to death or intoxication, incarceration, divorce, loss of parental custody, increase in grandparents raising grandchildren

The opioid crisis is particularly rampant in communities in rural America.

Rural Appalachian communities have been especially hard hit.

About one-half of rural residents report opioid addiction has gotten worse in their community in the past five years.
ELDER ABUSE AND THE OPIOID CRISSES:
A Disquieting Connection
The Impact of the Opioid Epidemic on Older Adults

1. Opioid Misuse
2. Grandfamilies
3. Elder Abuse
1. Opioid Misuse by Older Adults

U.S. Drug Overdose Death Rates, per 100,000 Population, 1999-2016

Hedegaard. H. et al., Data Brief no 294, National Center for Health Statistics, 2017
Opioid Prescriptions

- Many older adults experience chronic pain
  - 29% filled a prescription for opioid pain relievers in the past two years
  - <50% report health providers counsel them about addiction, risk of overdose, or how to safely dispose of excess medication
  - 86% of those prescribed opioids reported keeping leftover pills

National Poll on Aging, University of Michigan, 2018.
Opioid Misuse by Older Adults, con’t

- As the U.S. older adult population is expected to grow with aging baby boomers, opioid misuse among this group is becoming more urgent
  - 25% of long-term opioid users are aged 65+
  - The population of older adults who misuse opioids is expected to double from 2004-2020
  - 6 out of 1,000 Medicare beneficiaries (aged and disabled) are diagnosed with opioid use disorder – one of the highest and fastest growing rates
  - Women over 60 are more likely to use opioids than men

2. “Grandfamilies”

- An increasing number of opioid-addicted adults, many of whom have children, are moving in with their older parents, creating “grandfamilies”
  - Parental substance misuse is the most common reason that an estimated 2.5 million children are being raised by grandparents and other relatives
  - Rural older adults are more likely than urban adults to be raising their grandchildren (8.9 vs. 7.4%)
Struggles of “Grandfamilies”

• Many of these grandparents are impacted financially, legally, socially and physically.
  • Some risk arrest, eviction or homelessness
  • Some purposefully or inadvertently increase the flow of drugs by selling their excess medications to supplement fixed incomes or by not closely tracking their medications which may be taken or sold by their addicted adult child
  • Many experience increased financial burden on fixed incomes
  • Many struggle with depression and both physical and social isolation as well as physical demands of parenting grandchildren
3. Elder Abuse

- Many experts believe the opioid epidemic is associated with an increase in elder abuse including:
  - Physical abuse including assault and battery, threatening behavior
  - Emotional abuse
  - Financial exploitation including theft, fraud and forgery
  - Potential for homelessness due to arrest, eviction or illegal activity at the home
Examples of Opioid-Related Elder Abuse

Perpetrators: Opioid-addicted family members . . .

- Stealing medications for their own use or selling medications or other items of value belonging to the older adult for their own economic gain.
- Seeking refills on prescriptions, falsely claiming the older adult’s need for the medication
- Using the older adult’s home as a place of illegal activity placing the elder at risk of arrest or losing the home
- Using intimidation or engaging in physical violence against the elder

National Center on Elder Abuse brief: Opioids, Older Adults and Elder Abuse Survey: Highlights.
The opioid crisis is particularly rampant in communities in rural America.

Opioid overdose death rates skyrocketed seven-fold from 2000-2015 in rural areas.

Rural Appalachian communities have been especially hard hit.

Multiple Cause of Death 1999-2015, CDC WONDER Online Database.
Contributors to the Rural Crisis

• Persistent poverty & lack of economic opportunity
  • Closure of plants, mines and large businesses – related depression and anxiety
  • Lack of productive, employed role models for youth; “nothing to do”
  • Reliance on government assistance and access to prescription coverage

Poverty Rates and Drug Overdose Death Rates, 2016

Purpose of Study

To explore how older adults in rural areas are adversely affected by a perpetrator for whom drugs were involved because:

- of the role of drugs by the perpetrator taking drugs,
- the perpetrator(s) was stealing money from an older adult to support a drug habit,
- the perpetrator assaulted an older adult in addition to exploiting them for drugs, or
- the older adult himself or herself were taking drugs.
Methods

Conducted one-hour focus groups in

- Kentucky: Lawrence, Letcher, Pike Counties
- Ohio: Brown, Scioto, Vinton Counties
- Virginia: Bland, Russell, Tazewell
- West Virginia: Mercer, McDowell, Campbell Counties

Representatives from

- Adult Protective Services (APS),
- State Attorney General’s Office,
- Mental Health/Substance Abuse Services
- Law Enforcement
- Senior Services, and
- Medicaid Fraud Control
Focus Groups

• Used standard focus group methodology.
• Generated questions based upon our conceptual framework and conversations with elder abuse experts working in the APS.
• Promoted interactions among group members, guided by a pre-established set of probing questions.
• Designed questions specifically to elicit predominant beliefs held by participants with respect to their perceptions of the relationship between opioid use and elder abuse.

Questions

• Agency/organization role
• Increases over the past 3 years in cases involving drug abuse
• Reporters
• Perpetrators (motivation)
• Victims (characteristics and vulnerability)
• Harm to victims
• Services provided
• Case outcomes
• Challenges when working the cases
• Data collection
Data Analysis

• Data collection, coding, and analysis were ongoing and integrated.

• Teaster and Roberto independently read the transcripts, identified primary analytical codes, and developed themes represented in the data.

The problem is escalating in scope and severity

- Everyone believes that opioids, outside of exploitation cases, have absolutely exacerbated the abuse/neglect of vulnerable adults. This is occurring not only by exploiting elders to receive money for opioids and stealing opioids, but by using opioids to sedate and neglect elders.

- Drugs open the possibility for more types of abuse because there are more individuals inside the home. Another threat and danger is when the money runs out, the victim does not have any resources to help with daily needs.
Older adults are essentially prisoners in their own homes

A grandson who had a heroin addiction went to live with his elderly grandfather. The grandfather had dementia, and the grandson exploited the grandfather for $85,000 to support his heroin addiction. He was taking money out of his debit card, writing checks to his friends, and opening credit cards in his grandfather’s name to support his addiction.
An elder’s home becomes a safety hazard for them, for workers involved in the cases, and for the community.

- Homes become a marketing environment for drugs or people coming back and forth where they are taking drugs. In some situations, the older adult is involved with the drug abuse. One difficult situation is when the older adult is completely capacitated and can make his/her own decisions, but the case managers and workers don’t realize what is going on because it is not reported by the elderly adult.

- We are receiving complaints of self-neglect where bills are not getting paid, elders are missing appointments, and after investigation, they [APS] find that there is a drug issue going on.
Older adults are being drug exploited in facility settings

An LPN at a nursing home had an opioid addiction and took multiple medications off the medication cart one night and was found passed out at a nearby gas station after her shift. She had taken more than that day’s medicine, so may have been caught later as well. She had taken a lot of those medications, near the point of overdose. The residents whose medications were taken didn’t receive medications and were in pain as consequence.
Facility settings are not confined to nursing homes

Have seen a lot of cases where hospice patients are getting exploited because of the number of narcotics that they are on during this type of care.
A service conundrum exists

Some of the services that they [older adults] accept help with may allow the abuse to continue or enable the perpetrator to keep abusing. These services help the elders to solve their immediate problems of paying a utilities bill, but it allows the perpetrator to stay in the house. The tipping point is usually when the perpetrator gets in trouble and is no longer living in the home or when the elders lose capacity and allow workers to come into home. Most of the time, the perpetrator lives at the elder’s home or is coming into the household regularly to help with care.
Perspectives of Service Providers

FINDINGS

- Problem is increasing
- Increase in drug-related exploitation cases
- Lack of resources
- No reliable data to document problem

Teaster, Roberto, Blancato, Lindberg & Ponder, 2019
Experts suggest that the opioid epidemic is associated with:

- Physical abuse including assault and battery, threatening behavior
- Emotional abuse
- Financial exploitation including theft, fraud and forgery
- Potential for homelessness due to arrest, eviction or illegal activity at the home
- Self-neglect
APS Cases in Rural Kentucky
• From 2006 to 2012 there were 1,901,662,933 prescription pain pills supplied to Kentucky.

• In 2017, there were 1,160 reported opioid-involved deaths in Kentucky.
  • A rate of 27.9 deaths per 100,000 persons compared to the national average of 4.6 deaths per 100,000 persons.
Examine characteristics of substantiated cases of elder abuse associated with opioid misuse by perpetrators.

Compare characteristics of opioid-related cases of elder abuse to cases where opioid misuse was not involved.
Methods

- Kentucky Department for Community-Based Services
- All substantiated cases in 2015, 2016, and 2017
- Data collected using the Assessment and Documentation Tool (ADT)
- Conducted Multinomial Logistic Regression Analysis with Bootstrapped Standard Errors
Findings
Number of Cases By Year

- No Alcohol, Other Drug, or Opioid Cases
- Alcohol & Other Drug Cases
- Opioid Cases

<table>
<thead>
<tr>
<th>Year</th>
<th>Cases</th>
<th>No Alcohol, Other Drug, or Opioid Cases</th>
<th>Alcohol &amp; Other Drug Cases</th>
<th>Opioid Cases</th>
</tr>
</thead>
<tbody>
<tr>
<td>2015</td>
<td>38</td>
<td>6</td>
<td>8</td>
<td></td>
</tr>
<tr>
<td>2016</td>
<td>52</td>
<td>13</td>
<td>15</td>
<td></td>
</tr>
<tr>
<td>2017</td>
<td>54</td>
<td>9</td>
<td>10</td>
<td></td>
</tr>
<tr>
<td>AGE GROUP</td>
<td>ALCOHOL &amp; OTHER DRUGS CASES</td>
<td>OPIOID CASES</td>
<td></td>
<td></td>
</tr>
<tr>
<td>--------------------</td>
<td>------------------------------</td>
<td>--------------</td>
<td></td>
<td></td>
</tr>
<tr>
<td>64 Years or Below</td>
<td>9</td>
<td>11</td>
<td></td>
<td></td>
</tr>
<tr>
<td>65 Years or Above</td>
<td>15</td>
<td>14</td>
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</table>
## Predictors of Abuse for Victims

<table>
<thead>
<tr>
<th>VARIABLE</th>
<th>NO ALCOHOL, OTHER DRUGS, OR OPIOIDS (N=144)</th>
<th>ALCOHOL &amp; OTHER DRUGS CASES (N=28)</th>
<th>OPIOID CASES (N=33)</th>
<th>SIGNIFICANCE</th>
</tr>
</thead>
<tbody>
<tr>
<td># of Victim’s Health Problems (Range: 1-28)</td>
<td>6.29 (4.81)</td>
<td>4.73 (4.19)</td>
<td>8.29 (4.29)</td>
<td>Significant</td>
</tr>
<tr>
<td>Any ADLs</td>
<td>91%</td>
<td>86%</td>
<td>88%</td>
<td></td>
</tr>
<tr>
<td>Any Cognitive Difficulties</td>
<td>69%</td>
<td>64%</td>
<td>67%</td>
<td></td>
</tr>
<tr>
<td>Any Financial Exploitation</td>
<td>27%</td>
<td>55%</td>
<td>92%</td>
<td>Significant</td>
</tr>
<tr>
<td>Victim: Female</td>
<td>55%</td>
<td>82%</td>
<td>79%</td>
<td>Significant</td>
</tr>
<tr>
<td>Age Group: 65+</td>
<td>36%</td>
<td>59%</td>
<td>58%</td>
<td></td>
</tr>
</tbody>
</table>
SUMMARY OF FINDINGS

Types of Substances
- There was no significant difference in the type of substance involved in cases among younger adults or among older adults.

Age
- For both opioid cases and alcohol & other drugs, older adults appeared more often the victims.
  - Alcohol & Other Drugs = 62.50%
  - Opioids = 56%
  - These were trends, and did not reach significance

Gender
- Compared to cases where perpetrators were not abusing substances women were more likely to be victims of perpetrators who used alcohol & other drugs.
- Women were also more likely to be victims when perpetrators used Opioids, but this trend was not significant.

Exploitation
- Victims of perpetrators who use opioids were more likely to be financially exploited, than victims of perpetrators who used alcohol & other drugs or used no substances.
Perpetrators of Elder Abuse

Relatively little information is available about perpetrators beyond demographic characteristics and personal behaviors.

Information about perpetrators comes from surveys of older adult victims and cases in which abuse was identified and formally investigated.
PERPETRATORS: AGE AND SEX

PERPETRATORS ARE OF ALL AGES
- As young as 14 . . . as old as 93

BOTH MEN AND WOMEN
- Male perpetrators accounted for 72% of elder abuse incidents in criminal justice system
- State and local investigations also show men are more likely to be perpetrators
Perpetrators: Familiar Faces

<table>
<thead>
<tr>
<th>Spouses or Partners</th>
<th>Adult children</th>
<th>Grandchildren</th>
<th>Other family members</th>
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</table>

Vast majority of perpetrators have ongoing relationship with their older adult victims

Outsiders often perceive perpetrators as “good people”

| Friends/neighbors | Formal care providers |
Older adults unable to live independently
- often rely on assistance from perpetrators

Most caregivers are not abusive
- but stress can be overwhelming

Perpetual Dependency
- Due to personal, relational, or financial issues
- Relationship may become abusive if support diminishes
SUBSTANCE ABUSE AMONG PERPETRATORS

- 21-56% report substance abuse problems (vs. 11% general population)
- According to the WHO, 44% of male and 14% of female elder abuse perpetrators were dependent on alcohol or drugs in the United States.

World Health Organization. Elder Abuse and Alcohol Report, 2006
ELDER ABUSE WITHIN THE CONTEXT OF THE OPIOID EPIDEMIC
Many older adults experience chronic pain
  ▪ 29% filled a prescription for opioids in the past two years
  ▪ 86% of those prescribed opioids reported keeping leftover pills

25% of long-term opioid users are aged 65

Older women are more likely to use opioids than older men

Tilly, J., Skowronski, S., Ruiz, S. (2017). The Opioid Public Health Emergency and Older Adults

National Poll on Aging, University of Michigan, 2018
PURPOSE OF STUDY

To characterize substantiated cases of elder abuse in 13 eastern counties in Kentucky in which the perpetrator used opioids and related substances.
Methods

- Data collected using the Assessment and Documentation Tool (ADT)
- 28 substantiated cases of elder abuse in which opioids were noted as an underlying or contributing factor
- Within-case and across-cases thematic analysis of case notes
CASE BREAKDOWN

28 CASES

26 OLDER VICTIMS

25 PERPETRATORS
OLDER ADULTS ($N=26$)

**Sex**
- 22 female
- 4 male

**Age**
- M age = 75 years old
- Range = 61 years - 94 years

**Race**
- 26 White

**Living Arrangement**
- 17 lived in their own home
- 4 lived with a relative
- 4 lived in a care facility
- 1 unknown

**Marital Status**
- 4 Married
- 13 Widowed
- 3 Never Married
- 2 Divorced
- 4 unknown
TYPES OF ABUSE  \( (N=28 \text{ cases}) \)

- **Caretaker Neglect**
  - 16 Cases

- **Financial Exploitation**
  - 2 Cases

- **Material Exploitation**
  - 25 Cases

- **Physical Abuse**
  - 5 Cases
PERPETRATORS  \( (N=25) \)

**Sex**
- 12 female
- 13 male

**Age**
- Median age = 35 years
- Range = 33 years to 52 years

**Race**
- 25 White

**Living Arrangement**
- 15 home with the victim

**Caregiver Status**
- 16 Informal Caregivers
- 3 Paid Caregivers
- 6 not a Caregiver
PERPETRATORS (Cont.; N=25)

Criminal History
- $M$ number of criminal charges: 13
  - Range: 0-53
- $M$ number of drug charges: 3.5
  - Range: 0-17
- Number of perpetrators with previous drug charges: 13

Abuse History
- Current victim: 4
- Different victim: 8
- Unspecified victim: 12
Overall Categories

Exploitation

Dependency
Findings

Embedded within opioid-related cases is evidence of polyvictimization.

Family physicians identified abuse as a result of a negative drug screening.

Older adults claimed to suffer no ill effects from their missing medication.

Perpetrators often had a history of criminal and substance abuse charges.

Older parents shielded their adult children from investigation, sometimes to their own detriment.
Case Example

Noreen & Nevin

Perpetrator
- Adult son caring for his mother
- Previous history of caregiver neglect and exploitation

Abuse Allegations
- Physical
- Neglect
- Exploitation

Victim’s Health Status
- Needs help with ADLS due to heart and kidney problems and other chronic illness

What APS found
- Pain medications missing
- Physical abuse
- Son picked up meds from the pharmacy and kept them
- Son used mother’s money to purchase drugs for himself

Result
- Older adult moved to a hospice center where she later died
- Both caregiver neglect and exploitation were substantiated
Case Example

**Paula & Perry**

**Perpetrator**
- Grandson caring for his grandmother

**Abuse Allegations**
- Exploitation
- Neglect
- Physical

**Victim’s Health Status**
- Alzheimer’s disease and physical health problems

**What APS Found**
- Grandson failed to bring grandmother to doctor’s appointments
- Grandson had been picking up medication from the pharmacy and taking it for himself

**Result**
- Exploitation and neglect were substantiated.
- Physical abuse not substantiated - denied that grandson harmed her
- Older adult passed away before the investigation was over
Case Example

Edna & Edward

Perpetrator
- Adult son caring for his mother in mother’s home with his girlfriend

Abuse allegations
- Caretaker Neglect
- Exploitation

Victim’s Health Status
- Multiple chronic health conditions
- Required help with transportation and housework

What APS Found
- Son had been stealing his mother’s pain medication and syringes
- Son had been manufacturing methamphetamine inside the home

Result
- Granddaughter moved in to care for older adult after son’s arrest
- Both caregiver neglect and exploitation were substantiated
Case Example

*Calvin & Carly*

**Perpetrator**
- 33-year-old wife caring for her 61-year-old husband.
- Main caregiver for her husband
- History of substance abuse, specifically with opioids
- Very dependent on husband for resources

**Abuse Allegations**
- Caretaker Neglect
- Material Exploitation

**Victim’s Health Status**
- Multiple chronic health conditions including rheumatoid arthritis, Parkinson’s disease, spinal stenosis, and osteomyelitis

**What APS Found**
- Oxycodone and Neurontin were missing from the home
- Wife had been stealing husband’s medications
- Wife had not been feeding husband properly

**Result**
- Both allegations of abuse were substantiated
Case Example

Henry & Hunter

Perpetrator
- Adult son who lives with his father, Henry.

Abuse Allegations
- Caretaker Neglect.

Victim’s Health Status
- Multiple chronic health conditions including dementia.

What APS Found
- Hunter failed to provide his father with the proper medications.
- Hunter had been taking Henry’s medications for himself.

Result
- Henry and his wife requested that the case remain opened to help provide for his safety and well-being and to get services in place.
CONCLUSIONS

INCREASED SUSCEPTIBILITY
Opioid misuse by perpetrators appeared to heighten susceptibility for elder abuse

HEIGHTENED VULNERABILITY
Older adults support to family members may place them in an increasingly vulnerable position

UNRELIABLE CAREGIVING
Older adults who need care may find themselves depending on those who are in no position to give support
PRACTICE IMPLICATIONS
The diagram outlines the relationship between opioid epidemic and elder abuse and suggests future research considerations.

**Relationship between Opioid Epidemic and Elder Abuse**
- Conduct in-depth interviews with affected elders and their families.

**Individual and Collective Influences**
- Disentangle individual and collective influences on opioid-related abuse in late life.

**Older Adults and Opioid Addiction**
- Focus on older adults who misuse opiates.

**Future Research Considerations**
Next Steps . . . 2020? National Study

Prospective collection of quantitative and qualitative data via online tool

Case-specific APS qualitative via in-depth interviews with APS investigators
Thank you!

Questions?